



ASIC

Australian Securities & Investments Commission

Extracted from ASIC's database at AEST 19:41:42 on 08/02/2018

Current Details	
Name:	UNION STANDARD INTERNATIONAL GROUP PTY LTD
Licence Number:	302792
Status:	Current
ABN:	79 117 658 349
Commenced:	14/09/2006
Addresses	
Principal Business Address:	Suite 3 G, 135 Macquarie Street, SYDNEY NSW 2000
Service Address:	Suite 3 G, 135 Macquarie Street, SYDNEY NSW 2000
Roles	
Role:	Auditor of FS Licensee
Name:	ROBERT GEORGE TULLOCH
Commenced:	07/01/2015
External Dispute Resolution	
Scheme:	Financial Ombudsman Service
Membership Number:	12385
Commenced:	01/07/2008
Licence Authorisation Conditions	
From:	01/02/2016
Details:	<ol style="list-style-type: none"> 1. This licence authorises the licensee to carry on a financial services business to: <ol style="list-style-type: none"> (a) provide general financial product advice for the following classes of financial products: <ol style="list-style-type: none"> (i) derivatives; and (ii) foreign exchange contracts; (b) deal in a financial product by: <ol style="list-style-type: none"> (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products: <ol style="list-style-type: none"> (A) derivatives; and (B) foreign exchange contracts; and (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products: <ol style="list-style-type: none"> (A) derivatives; and (B) foreign exchange contracts; and (c) make a market for the following financial products: <ol style="list-style-type: none"> (i) foreign exchange contracts; and (ii) derivatives; to retail and wholesale clients.
From:	10/02/2012
Details:	<ol style="list-style-type: none"> 1. This licence authorises the licensee to carry on a financial services business to:



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Licence Authorisation Conditions

- (a) provide financial product advice for the following classes of financial products:
 - (i) derivatives; and
 - (ii) foreign exchange contracts;
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) foreign exchange contracts; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) derivatives; and
 - (B) foreign exchange contracts; and
- (c) make a market for the following financial products:
 - (i) foreign exchange contracts; and
 - (ii) derivatives;
 to retail and wholesale clients.

From: 09/10/2009

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide financial product advice for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products;
 - (ii) derivatives;
 - (iii) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (iv) life products including:
 - (A) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds; and
 - (B) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
 - (v) interests in managed investment schemes including:



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- (A) investor directed portfolio services;
 - (vi) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);
 - (vii) securities; and
 - (viii) superannuation; and
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (D) life products including:
 - (1) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds; and
 - (2) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
 - (E) interests in managed investment schemes including:
 - (1) investor directed portfolio services;
 - (F) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);
 - (G) securities; and
 - (H) superannuation;
- to retail and wholesale clients.

From: 28/11/2008

Details: 1. This licence authorises the licensee to carry on a financial services business to:
(a) provide financial product advice for the following



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PTY LTD
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classes of financial products:

- (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products;
- (ii) derivatives;
- (iii) debentures, stocks or bonds issued or proposed to be issued by a government;
- (iv) life products including:
 - (A) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds; and
 - (B) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
- (v) interests in managed investment schemes including:
 - (A) investor directed portfolio services;
- (vi) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);
- (vii) securities; and
- (viii) superannuation; and
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (D) life products including:
 - (1) investment life insurance products as well as any products issued by a Registered Life



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Insurance Company that are backed by one or more of its statutory funds; and

(2) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;

(E) interests in managed investment schemes including:

(1) investor directed portfolio services;

(F) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);

(G) securities; and

(H) superannuation;

to retail and wholesale clients.

From: 14/09/2006

Details: 1. This licence authorises the licensee to carry on a financial services business to:

(a) provide financial product advice for the following classes of financial products:

(i) deposit and payment products limited to:

(A) basic deposit products;

(B) deposit products other than basic deposit products;

(ii) derivatives;

(iii) debentures, stocks or bonds issued or proposed to be issued by a government;

(iv) life products including:

(A) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds; and

(B) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;

(v) interests in managed investment schemes including:

(A) investor directed portfolio services;

(vi) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);

(vii) securities; and

(viii) superannuation; and



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- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (D) life products including:
 - (1) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds; and
 - (2) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
 - (E) interests in managed investment schemes including:
 - (1) investor directed portfolio services;
 - (F) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);
 - (G) securities; and
 - (H) superannuation;
- to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.